

IV. SURGERY CENTER OPERATIONS

A. INDICATE THE NUMBER OF OUTPATIENT SURGERIES:

PERFORMED AT YOUR FACILITY DURING THE LAST 12 MONTHS: _____

YOU EXPECT TO PERFORM AT YOUR FACILITY DURING THE NEXT 12 MONTHS: _____

B. CATEGORIES OF SURGICAL PROCEDURES

Categories Of Surgical Procedures (List Others In Blanks Provided)	Indicate The Number Of Surgical Procedures That Were Performed At Your Facility <u>During The Last 12</u> <u>Months</u>	Indicate The Number Of Surgical Procedures You Expect To Perform At Your Facility <u>During The Next 12 Months</u>
Cardiovascular		
Gastroenterology (Endoscopy, Colonoscopy, Etc.)		
Other Colon And Rectal		
General Surgery		
Gynecological		
Neurosurgical		
Obstetrical		
Orthopedic - No Spinal		
Orthopedic - Spinal		
Ophthalmology (Also See Lasik Question IV. C)		
Pain Management		
Plastic - Reconstructive		
Plastic - Cosmetic (*)		
Otorhinolaryngology		
Urological		
Vascular		

(*) Please describe the specific cosmetic procedures being performed: _____

C. SPECIFIC PROCEDURE INFORMATION

Specific Procedure Information	Indicate The Number Of Surgical Procedures That Were Performed At Your Facility <u>During The Last 12</u> <u>Months</u>	Indicate The Number Of Surgical Procedures You Expect To Perform At Your Facility <u>During The Next 12 Months</u>
Abortions - First Trimester		
Abortions - Second Or Third Trimester		
Bariatric Surgery (**)		
Lasik Surgery		

(**) Please complete the Bariatric Surgery Supplemental Questionnaire (Facilities).

IV. SURGERY CENTER OPERATIONS (CONTINUED)

D. DO YOU HAVE ANY BEDS USED FOR OVER-NIGHT OCCUPANCY? YES NO **IF YES, HOW MANY?** _____

ARE ANY LICENSED AS ACUTE CARE HOSPITAL BEDS? YES NO **IF YES, HOW MANY?** _____

E. NUMBER OF SURGICAL SUITES/OPERATING ROOMS: _____ **NUMBER OF RECOVERY ROOMS:** _____

F. DO YOU PROVIDE ANY POST-OPERATIVE SERVICES? YES NO

IF YES, PLEASE DESCRIBE: _____

WHAT TYPE OF RECOVERY CARE FOLLOWING DISCHARGE FROM THE PACU DO YOU PROVIDE?

NONE 23 HOUR PROGRAM 72 HOUR PROGRAM

G. DO YOU PROVIDE ANY MEDICAL PROFESSIONAL SERVICES TO NON-PATIENTS (LABORATORY, PHARMACY, ETC.)? YES NO

IF YES, PLEASE EXPLAIN AND PROVIDE ASSOCIATED RECEIPTS OR OUTPATIENT VISITS: _____

V. MEDICAL STAFF (CONTINUED)

B. ARE EACH OF THE PHYSICIANS PRACTICING AT YOUR FACILITY BOARD CERTIFIED? YES NO

IF NO, HOW MANY ARE NOT BOARD CERTIFIED? _____

C. DO YOU HAVE ANY PHYSICIANS ON STAFF THAT DO NOT MAINTAIN STAFF PRIVILEGES AT A HOSPITAL? YES NO

IF YES, PLEASE EXPLAIN: _____

D. PLEASE INDICATE THE NUMBER OF HEALTH PROFESSIONALS, OTHER THAN PHYSICIANS, WHO

WORK AT YOUR FACILITY: _____

IMPORTANT NOTE: IF COVERAGE IS DESIRED FOR HEALTH PROFESSIONALS, OTHER THAN PHYSICIANS, PLEASE INDICATE THAT ON SECTION III (COVERAGES, LIMITS AND DEDUCTIBLE SCHEDULE) AND SECTION V (THE SCHEDULE OF MEDICAL PROFESSIONALS) OF THE SURGERY CENTER SUPPLEMENTAL APPLICATION. IF SEPARATE LIMITS COVERAGE IS DESIRED, ALSO SUBMIT AN APPLICATION FOR EACH INDIVIDUAL THAT COVERAGE IS REQUESTED.

ALLIED PROFESSIONALS EXCEPT PHYSICIANS	# EMPLOYED	# VOLUNTEERS	# CONTRACTED
AIDES			
CRNA'S			
DENTISTS			
LABORATORY TECHNICIANS			
LPN'S/RN'S			
MEDICAL TECHNICIANS			
NURSE MIDWIVES			
NURSE PRACTITIONER			
OCCUPATIONAL THERAPISTS			
OPTOMETRISTS/ OPTICIANS			
ORAL SURGEONS			
PERFUSIONISTS			
PHYSICAL THERAPISTS			
PHARMACISTS			
PHYSICIAN ASSISTANTS			
PODIATRISTS			
RESPIRATORY THERAPISTS			
PSYCHOLOGISTS			
RADIOLOGY / X-RAY TECHNICIANS			
SURGICAL ASSISTANTS			
OTHERS (DESCRIBE)			

E. DO YOU SUPERVISE ANYONE OTHER THAN YOUR OWN EMPLOYEES? YES NO

IF YES, PLEASE DESCRIBE THE RESPONSIBILITY OF THE INDIVIDUALS AND WHAT YOUR RELATIONSHIPS ARE TO THESE INDIVIDUALS:

ALSO INDICATE, BY TYPE OF MEDICAL PROFESSIONAL, THE NUMBER OF INDIVIDUALS YOU SUPERVISE: _____

VI. SERVICES INFORMATION

ANESTHESIA

A. NUMBER OF: ANESTHESIOLOGISTS: _____ **CRNA'S:** _____

B. ARE ALL ANESTHESIOLOGISTS REQUIRED TO BE BOARD CERTIFIED/ELIGIBLE IN ANESTHESIOLOGY? YES NO

C. ARE ALL CRNA'S SUPERVISED BY AN ANESTHESIOLOGIST? YES NO

D. IS A PRE-ANESTHESIA EVALUATION DONE BY AN ANESTHESIOLOGIST? YES NO

E. IS ANESTHESIA EQUIPMENT EQUIPPED WITH: **OXYGEN-ANALYZERS?** YES NO
DISCONNECT ALARMS? YES NO

F. WHO OWNS AND MAINTAINS THE OXYGEN EQUIPMENT? _____

G. DO YOU TREAT CHILDREN? YES NO

H. WHAT ASA CATEGORIES ARE TREATED? _____

I. IS THERE A SEPARATE INFORMED CONSENT FOR ANESTHESIA? YES NO

J. DO YOU MONITOR THE USE OF REVERSAL AGENTS? YES NO

K. OTHER THAN ANESTHESIOLOGISTS OR CRNA'S, LIST ANYONE WHO ADMINISTERS ANESTHESIA OR CONSCIOUS SEDATION:

PHARMACY

A. DO YOU OWN OR OPERATE A PHARMACY? YES NO

IF YES, DOES A FULL TIME REGISTERED PHARMACIST DIRECT THE PHARMACY? YES NO

B. IS THE PHARMACY STAFFED AT ALL TIMES WHILE THE FACILITY IS OPEN? YES NO

C. DOES THE PHARMACY USE A BAR CODING SYSTEM OF DISPENSING MEDICINE? YES NO

D. ARE IV ADMIXTURES PREPARED BY A PHARMACIST ON SITE? YES NO

VII. RISK MANAGEMENT

A. IS THERE A FORMAL RISK MANAGEMENT PROGRAM? YES NO

B. IS THERE A FULL-TIME RISK MANAGER? YES NO
IF NO, WHAT ARE THEIR OTHER RESPONSIBILITIES AND HOW MUCH TIME IS DEVOTED TO RISK MANAGEMENT? _____

C. WHAT IS THE NAME AND TITLE OF THE PERSON RESPONSIBLE FOR RISK MANAGEMENT:
NAME _____ TITLE _____

D. IS THE RISK MANAGER RESPONSIBLE FOR REVIEWING INCIDENT REPORTS? YES NO

E. IS THERE A WRITTEN INCIDENT REPORTING PROCEDURE? YES NO
1. IF YES, DOES THIS PROCEDURE REQUIRE REVIEW AND APPROPRIATE CORRECTIVE ACTION BE TAKEN? YES NO
2. IS FOLLOW-UP MADE TO ASSURE COMPLIANCE? YES NO

F. IS THERE AN ON-GOING QUALITY ASSURANCE (QA) COMMITTEE IN PLACE? YES NO
1. IF YES, IS THE PERSON RESPONSIBLE FOR RISK MANAGEMENT A MEMBER OF THIS COMMITTEE? YES NO
2. TO WHOM IS THE QUALITY ASSURANCE COMMITTEE ACCOUNTABLE?
NAME _____ TITLE _____

3. WHAT QUALITY INDICATORS ARE MONITORED (PLEASE LIST)? _____

4. DO YOU MONITOR INFECTION RATES AT YOUR FACILITIES? YES NO

G. IS THERE AN ACTIVE PEER REVIEW PROCESS FOR PHYSICIANS WHICH IS PART OF THE QUALITY MANAGEMENT PROGRAM? YES NO
IF NO, PLEASE EXPLAIN _____

H. IS THERE AN ON-GOING CONTINUING EDUCATION PROGRAM FOR: NURSING STAFF? YES NO
OTHER ALLIED HEALTH PROFESSIONALS? YES NO

I. NAME OF THE PERSON OUR RISK MANAGEMENT CONSULTANT MAY CONTACT FOR AN ON-SITE VISIT:
NAME _____ TITLE _____

VIII. CREDENTIALING

A. WHEN HIRING PROFESSIONALS AND SUPPORT STAFF DO YOU:

1. VERIFY EDUCATIONAL BACKGROUND? YES NO

2. CHECK ALL REFERENCES INCLUDING PAST EMPLOYERS? YES NO

3. CONFIRM HOSPITAL PRIVILEGES FOR PHYSICIANS AND SURGEONS? YES NO

4. CHECK FOR PENDING LICENSE SUSPENSIONS, REVOCATIONS, OR DISCIPLINARY ACTIONS BY OTHER FACILITIES? YES NO

5. CHECK CRIMINAL HISTORY? YES NO

6. REQUIRE PRIOR MEDICAL PROFESSIONAL CLAIM HISTORY? YES NO

B. ARE CREDENTIALS OF EACH PHYSICIAN REVIEWED BY A MEDICAL STAFF COMMITTEE AND APPROVED BY THE GOVERNING BODY PRIOR TO GRANTING PRIVILEGES? YES NO

C. IS AN ONGOING QUALITY ASSURANCE REVIEW MAINTAINED ON ALL STAFF MEMBERS' CLINICAL WORK? YES NO

D. DO MEDICAL STAFF BYLAWS REQUIRE EACH PHYSICIAN, PODIATRIST AND DENTIST WORKING AT YOUR FACILITY TO MAINTAIN PROFESSIONAL LIABILITY INSURANCE? YES NO
1. IF YES, WHAT ARE THE MINIMUM LIMITS OF LIABILITY REQUIRED? \$ _____ / \$ _____
2. ARE CERTIFICATES OF INSURANCE OBTAINED AT LEAST ANNUALLY FROM EACH INDIVIDUAL TO VERIFY COVERAGE IS IN PLACE? YES NO

E. WHAT ARE THE MINIMUM LIMITS OF LIABILITY THAT YOU REQUIRE NON-PHYSICIAN MEDICAL PROFESSIONALS WORKING AT YOUR FACILITY TO CARRY? \$ _____ / \$ _____
ARE CERTIFICATES OF INSURANCE OBTAINED AT LEAST ANNUALLY FROM EACH INDIVIDUAL TO VERIFY COVERAGE IS IN PLACE? YES NO

F. HAS THE LICENSE OF ANY PHYSICIAN, PODIATRIST OR DENTIST BEEN RESTRICTED, REVOKED OR SUSPENDED IN THE LAST FIVE YEARS? YES NO
IF YES, PLEASE EXPLAIN: _____

G. HAVE YOU MADE REPORTS TO THE NATIONAL PRACTITIONER DATA BANK OF ANY PEER REVIEW ACTION, SUSPENSION OR PROFESSIONAL LIABILITY PAYMENT INVOLVING ANY MEMBER OF THE MEDICAL STAFF DURING THE LAST FIVE YEARS? YES NO
IF YES, PLEASE EXPLAIN: _____

IX. PHYSICAL PLANT

A. PLEASE FURNISH THE FOLLOWING INFORMATION FOR ALL OWNED OR LEASED PROPERTY OPERATED OR OCCUPIED BY YOU. A SEPARATE SUMMARY OF LOCATIONS/EXPOSURES IS ACCEPTABLE, PROVIDED THE INFORMATION OUTLINED BELOW IS FURNISHED.

ADDRESS OF PROPERTY TO BE INSURED	USE/OCCUPANCY	SQUARE FOOTAGE	AGE	TYPE OF CONSTRUCTION	NUMBER OF STORIES	FIRE PROTECTION*
PATIENT CARE BUILDINGS:						
OTHER BUILDINGS:						

*FOR EACH BUILDING INDICATE IF THERE IS A: SPRINKLER SYSTEM - FULL, PARTIAL OR NO SPRINKLER SYSTEM
 SMOKE DETECTOR, HEAT DETECTOR
 FIRE ALARM - CENTRAL STATION OR LOCAL ALARM

B. DO ALL FACILITIES COMPLY WITH THE NATIONAL FIRE PROTECTION ASSOCIATION (NFPA) 101 LIFE SAFETY CODE 2000 EDITION OR NEWER? YES NO

IF NO, PLEASE EXPLAIN _____

X. GENERAL LIABILITY

DO YOU DESIRE GENERAL LIABILITY COVERAGE? YES NO
 If yes, complete this section. If no, skip to Section XI.

A. IS THERE A PREVENTIVE AND CORRECTIVE MAINTENANCE PROGRAM IN PLACE FOR THE BIO-MEDICAL EQUIPMENT AND SURGICAL MACHINES OR DEVICES AT THE FACILITY? YES NO

- HOW OFTEN ARE NON-EXPENDABLE MEDICAL OR SURGICAL MACHINES OR DEVICES INSPECTED AND MAINTAINED?

- WHO PERFORMS THE MAINTENANCE ON THE ABOVE EQUIPMENT? EMPLOYEES INDEPENDENT CONTRACTORS
- IF INDEPENDENT CONTRACTOR, WHAT IS THE MINIMUM GENERAL LIABILITY LIMIT THAT YOU REQUIRE THEM TO CARRY?
 \$ _____ / \$ _____
- DO YOU OBTAIN A CERTIFICATE OF INSURANCE ANNUALLY TO VERIFY THIS COVERAGE IS IN PLACE? YES NO

B. IS ANY OF THE BIO-MEDICAL EQUIPMENT USED AT YOUR FACILITY OWNED BY PHYSICIANS? YES NO
 IF YES, WHO IS RESPONSIBLE FOR THE PREVENTIVE MAINTENANCE, INSPECTION AND REPAIR OF THE EQUIPMENT?

C. DO YOU LEND OR DONATE YOUR BIO-MEDICAL EQUIPMENT TO OTHERS FOR THEIR USE? YES NO
 IF YES, DESCRIBE: _____

D. DO YOU RENT OR LEASE MEDICAL EQUIPMENT FROM OTHERS? YES NO
 IF YES, WHO IS RESPONSIBLE FOR THE MAINTENANCE OF THE EQUIPMENT? _____

E. DO YOU USE AN ADVERTISING AGENCY? YES NO
 1. IF YES, WHAT IS THE MINIMUM PROFESSIONAL LIABILITY LIMIT THAT YOU REQUIRE THEM TO CARRY?
 \$ _____ / \$ _____

- ARE YOU INCLUDED AS AN ADDITIONAL INSURED ON THE ADVERTISING AGENCY'S POLICY? YES NO
- IS THERE A HOLD HARMLESS AGREEMENT IN THE CONTRACT IN FAVOR OF YOUR FACILITY? YES NO

F. ARE THERE ANY PLANS FOR NEW CONSTRUCTION OR RENOVATIONS DURING THE NEXT 12 MONTHS? YES NO
 IF YES, PLEASE DESCRIBE THE CHANGES PLANNED INCLUDING THE TIME FRAME AND THE ESTIMATED COST: _____

G. PLEASE INDICATE BELOW WHICH OF THE FOLLOWING APPLY AND SPECIFY THE CORRESPONDING PROJECTED NUMBER OR AMOUNT OF RECEIPTS FOR THE NEXT 12 MONTHS:

HABITATIONAL RISK: INDICATE IF AN: APARTMENT DWELLING HOTEL

- NUMBER OF UNITS: _____ YEAR BUILT: _____
 - ARE THERE AT LEAST TWO EXITS LOCATED REMOTELY FROM EACH OTHER? YES NO
 - FOR APARTMENT BUILDINGS AND HOTELS, ARE THERE LIGHTED EMERGENCY EXIT SIGNS? YES NO
 - PAY PARKING RECEIPTS PER YEAR: _____
 - SPECIAL ATHLETIC OR FUND RAISING EVENTS RECEIPTS PER YEAR: _____
- DESCRIBE PLANNED EVENTS FOR THE UPCOMING YEAR AND INDICATE IF ALCOHOL WILL BE SERVED: _____

H. DO YOU LEASE OR RENT SPACE TO OTHERS? YES NO
 IF YES, INDICATE THE FOLLOWING:

 CITY, STATE, AND ZIP CODE

 SQUARE FOOTAGE OCCUPANCY/USE OF SPACE

- DOES YOUR LEASE REQUIRE THE TENANT TO CARRY GENERAL LIABILITY INSURANCE WITH AT LEAST A \$1,000,000 LIMIT? YES NO
- DO YOU OBTAIN A CERTIFICATE OF INSURANCE ANNUALLY TO VERIFY THIS COVERAGE IS IN PLACE? YES NO
- IS THE TENANT REQUIRED TO LIST YOU AS AN ADDITIONAL INSURED ON THEIR GENERAL LIABILITY POLICY? YES NO

XI. EXCESS LIABILITY

DO YOU DESIRE EXCESS LIABILITY COVERAGE? YES NO
 If yes, complete this section. If no, skip to Section XII.

A. HAVE YOUR EXCESS PROFESSIONAL OR COMMERCIAL GENERAL LIABILITY LIMITS BEEN INCREASED WITHIN THE LAST FIVE YEARS? YES NO

IF YES, WHAT WAS THE PRIOR LIMIT AND WHEN WAS IT INCREASED? _____

XII. COVERAGE HISTORY AND INFORMATION

**** NOTE: QUESTION XII. A. IS NOT TO BE COMPLETED IN THE STATE OF MISSOURI.**

A. HAS ANY COMPANY EVER CANCELLED OR REFUSED TO OFFER INSURANCE COVERAGE? YES NO

IF YES, PLEASE PROVIDE DETAILS: _____

B. PLEASE CHECK WHICH TYPE OF NOTICE YOUR PRESENT PROFESSIONAL LIABILITY INSURER REQUIRES BEFORE THEY WILL FORMALLY RECOGNIZE A CLAIM UNDER THEIR POLICY:

- SUMMONS AND COMPLAINT OR ATTORNEY DEMAND LETTER.
- WRITTEN NOTICE FROM YOU THAT A POTENTIALLY COMPENSABLE EVENT HAS OCCURRED.

C. HAVE YOU CONDUCTED A RECENT REVIEW OF ALL KNOWN CLAIMS AS WELL AS ANY INCIDENTS WHICH MAY GIVE RISE TO FUTURE CLAIMS AND HAVE YOU FORWARDED THEM TO YOUR CURRENT INSURER? YES NO

IF YES, PROVIDE THE DATE OF THE REVIEW AND THE NAME AND TITLE OF THE PERSON CONDUCTING THE REVIEW:

MM YYYY NAME AND TITLE _____

D. PLEASE PROVIDE YOUR INSURANCE HISTORY FOR THE LAST FIVE YEARS:

POLICY PERIOD	MOST RECENT YEAR	YEAR 1 PRIOR	YEAR 2 PRIOR	YEAR 3 PRIOR	YEAR 4 PRIOR
PROFESSIONAL LIABILITY					
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					
GENERAL LIABILITY					
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					
EXCESS LIABILITY					
INSURANCE COMPANY					
LIMITS					
CLAIMS-MADE (CM) OR OCCURRENCE (O)					
PREMIUM					

XIII. LOSS INFORMATION (IMPORTANT! COMPLETE FULLY)

For EACH claim, potential claim or suit mentioned below, please complete Section I (Loss History) of the Surgical Center Supplemental Application.

A. Has your organization (independently or through a named insured) been involved now or in the past, directly or indirectly, in a claim, potential claim, or suit arising out of the rendering or failing to render professional services involving former or present partners, members of the corporation, or any former or present employee or independent contractor of the corporation, partnership or organization? YES NO

If yes, how many? _____

If yes, have these been reported to your insurer? YES NO

B. Does your organization or any of your employees/contractors have knowledge of any incident, or unexpected adverse outcome resulting in injury or death, claim, potential claim, or suit in which you may become involved, including without limitation, knowledge of any injury arising out of the rendering or failing to render professional services which may give rise to a claim involving former or present partners, members of the corporation, or any former or present employee or independent contractor of the corporation, partnership or organization which may give rise to a claim? YES NO

If yes, how many? _____

If yes, have these been reported to your insurer? YES NO

XIV. ATTACHMENTS

A COPY OF THE FOLLOWING INFORMATION MUST BE SUBMITTED WITH THIS APPLICATION:

- A. A COPY OF YOUR CERTIFICATE / ACCREDITATION INCLUDING ANY RECOMMENDATIONS MADE.**
- B. FINANCIAL INFORMATION.** THE MOST RECENT THREE (3) YEARS OF FINANCIAL STATEMENTS INCLUDING THE AUDITOR'S OPINION, IF APPLICABLE.
- C. MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS.**
- D. COPY OF YOUR LETTERHEAD.**
- E. LIST OF OPERATIONS OR ACTIVITIES PERFORMED THAT ARE NOT OTHERWISE DESCRIBED IN THE APPLICATION.**
- F. LOSS INFORMATION.** RECENTLY VALUED LOSS RUNS FROM INSURANCE CARRIERS COVERING THE LAST TEN (10) FULL YEARS. THE LOSS INFORMATION SHOULD INCLUDE PAID AND RESERVED AMOUNTS.
- G. ANNUAL REPORT** (IF ONE IS PUBLISHED).
- H. ALL CURRENT ADVERTISING MATERIALS.**
- I. ORGANIZATIONAL CHART INCLUDING THE NAMES OF ALL ENTITIES AND A BRIEF DESCRIPTION OF OPERATIONS.**
- J. COPY OF YOUR CURRENT INSURANCE POLICY.**

XV. IMPORTANT NOTICE - REPRESENTATIONS, AUTHORIZATIONS, RELEASE AND NOTICES

IMPORTANT NOTICE:

THIS INSURANCE MAY CONTAIN CLAIMS MADE COVERAGE. CERTAIN COVERAGES OF THIS INSURANCE MAY BE LIMITED TO LIABILITY FOR INJURIES FOR WHICH CLAIMS ARE FIRST MADE DURING THE POLICY PERIOD ARISING OUT OF INCIDENTS OR ACTS THAT FIRST OCCURRED ON OR AFTER THE APPLICABLE RETROACTIVE DATE.

PLEASE READ AND REVIEW THE POLICY CAREFULLY.

FRAUD NOTICE:

MANDATORY: ALL APPLICANTS MUST READ AND INITIAL THE FOLLOWING:

WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION IS GUILTY OF A FELONY.

INITIAL HERE

PLEASE READ AND SIGN

ON BEHALF OF THE ENTITY APPLYING FOR COVERAGE HEREIN:

I HEREBY DECLARE THAT THE ABOVE STATEMENTS AND PARTICULARS ARE TRUE AND THAT NO MATERIAL FACT HAS BEEN KNOWINGLY SUPPRESSED OR MISSTATED.

I AGREE THAT THIS APPLICATION SHALL BE THE BASIS OF THE CONTRACT WITH THE COMPANY.

I AGREE TO NOTIFY THE COMPANY IF THERE IS ANY FUTURE MATERIAL CHANGE IN ANY ANSWER TO THIS APPLICATION, INCLUDING WITHOUT LIMITATION, ANY CHANGE IN PROFESSIONAL SPECIALTY, AFFILIATION, OR WORKING ARRANGEMENT WITH ANY PHYSICIAN, DENTIST, FIRM, OR PROFESSIONAL ASSOCIATION.

I UNDERSTAND THAT ANY MATERIAL MISREPRESENTATION OR OMISSION MADE ON THIS APPLICATION MAY ACT TO RENDER ANY CONTRACT OF INSURANCE NULL AND WITHOUT EFFECT OR PROVIDE THE COMPANY WITH THE RIGHT TO RESCIND COVERAGE.

BY MAKING THIS APPLICATION, I AM NOT RELYING UPON ANY ORAL OR WRITTEN REPRESENTATION THAT COVERAGE HAS OR WILL BE EXTENDED OR THAT A POLICY OF INSURANCE WILL BE ISSUED.

I FURTHER UNDERSTAND AND AGREE THAT THERE IS NO RIGHT TO DEMAND OR EXPECT COVERAGE UNTIL THE COMPANY HAS: (1) RECEIVED A COMPLETED APPLICATION; (2) OFFERED A PREMIUM QUOTE; AND (3) RECEIVED, AS A PRECONDITION TO COVERAGE, THE TOTAL PREMIUM DUE OR, IF THE COMPANY HAS AGREED TO FINANCE THE PREMIUM, THE FIRST INSTALLMENT DUE. IN ADDITION, I UNDERSTAND THAT IF THE PREMIUM OR FIRST INSTALLMENT IS PAID BY CHECK, ELECTRONIC TRANSFER OR MONEY ORDER, IT SHALL NOT BE CONSIDERED AS "RECEIVED" BY THE COMPANY UNTIL IT HAS BEEN HONORED BY THE BANK.

I AGREE THAT IF THESE TERMS ARE NOT COMPLIED WITH, THERE WILL BE NO COVERAGE FOR ANY CLAIM UNDER ANY POLICY OF INSURANCE FOR WHICH I AM APPLYING.

I ALSO UNDERSTAND THAT THE COMPANY MAY WISH TO CONTACT PERSONS, HOSPITALS, SCHOOLS, EMPLOYERS, INSURANCE AGENTS, PROFESSIONAL LIABILITY INSURERS OR OTHER INDIVIDUALS OR ENTITIES TO VERIFY AND/OR ASCERTAIN INFORMATION REGARDING CREDENTIALS AND BACKGROUND BOTH PRIOR TO AND, IF ISSUED, AFTER THE ISSUANCE OF A CONTRACT OF INSURANCE. THEREFORE, I HEREBY INSTRUCT ANY SUCH PERSON, HOSPITAL, SCHOOL, EMPLOYER, INSURANCE AGENT, PROFESSIONAL LIABILITY INSURER OR OTHER ENTITY TO RELEASE TO THE COMPANY ANY INFORMATION REQUESTED, WHICH THE COMPANY, IN GOOD FAITH, BELIEVES TO BE APPLICABLE AND PERTINENT TO THIS APPLICATION AND IF ISSUED, THE CONTRACT OF INSURANCE ISSUED HEREUNDER.

SIGNATURE OF AUTHORIZED INDIVIDUAL

TITLE

DATE

SURGERY CENTER SUPPLEMENTAL APPLICATION

I. LOSS HISTORY

IF YOU HAVE BEEN INSURED WITH THE MEDICAL PROTECTIVE COMPANY OR NATIONAL FIRE AND MARINE FOR LESS THAN TEN YEARS OR IF YOUR FACILITY PARTICIPATED IN A SELF-INSURED RETENTION ARRANGEMENT, PROVIDE A RECENTLY VALUED CLAIMS EXHIBIT FOR ALL CLAIMS DURING THE LAST TEN FULL YEARS. ONLY PROVIDE THE CLAIMS INFORMATION ON THOSE CLAIMS WHICH ARE NOT BEING HANDLED DIRECTLY BY THE MEDICAL PROTECTIVE COMPANY OR NATIONAL FIRE & MARINE INSURANCE COMPANY.

THE LOSS INFORMATION SHOULD ADDRESS BOTH YOUR PROFESSIONAL AND GENERAL LIABILITY INSURANCE CLAIMS EXPERIENCE INCLUDING PAID AND RESERVED AMOUNTS.

IF MAKING ADDITIONAL COPIES, PLEASE ENTER APPLICANT'S NAME HERE: _____

NOTE: ADDITIONAL DOCUMENTATION (OFFICE/HOSPITAL RECORDS) MAY BE REQUESTED AT THE UNDERWRITING DEPARTMENT'S DISCRETION.

CLAIM NUMBER _____

A. CLAIMANT NAME: _____ **AGE:** _____

B. DATE OF TREATMENT AND/OR SURGERY, WHICH LED TO THE ALLEGATIONS AGAINST YOU. _____
MM YYYY

C. DATE CLAIM/INCIDENT NOTICE RECEIVED. _____
MM YYYY

D. NAME OF DOCTOR(S), HEALTH CARE PROVIDER(S) OR OTHER HOSPITAL(S) IF ANY, INVOLVED IN THE CLAIM OR SUIT:

E. DEFENDING INSURANCE CARRIER NAME: _____

F. WAS A CLAIM MADE OR A SUIT FILED? YES NO

G. DISPOSITION OR CURRENT STATUS OF CLAIM OR SUIT: OPEN CLOSED

IF CLOSED, DATE OF CLOSING /SETTLEMENT OR AWARD: _____
MM YYYY

IF CLOSED, WAS PAYMENT MADE? YES NO

IF NO, WAS CLAIM OR SUIT WITHDRAWN? YES NO

AMOUNT PAID ON YOUR BEHALF: \$ _____

TOTAL AMOUNT OF SETTLEMENT OR AWARD: \$ _____

WAS THIS MATTER CLOSED WITH YOUR CONSENT? YES NO

IF OPEN, HAS SETTLEMENT BEEN OFFERED? YES NO

IF OPEN, HAS TRIAL DATE BEEN SET? YES NO

TRIAL DATE: _____
MM YYYY

H. NATURE OF ALLEGATIONS IN THE CLAIM OR SUIT:

CONDITION TREATED: _____

TREATMENT PROVIDED: _____

ALLEGED NEGLIGENCE: _____

ALLEGED INJURY: _____

I. PLEASE PROVIDE A NARRATIVE DESCRIPTION OF THE MEDICAL FACTS: (MUST INCLUDE, BUT NOT LIMITED TO THE TYPE OF TREATMENT AND/OR SURGERY INCLUDING YOUR LEVEL OF INVOLVEMENT).

II. SCHEDULE OF RELATED ENTITIES

LIST OF ENTITIES RELATED TO THE NAMED INSURED (SUBSIDIARIES, JOINT VENTURES, LLCs, PARTNERSHIPS, ETC.)

NAME OF ENTITY	DESCRIPTION OF OPERATIONS	DATE ACQUIRED, CREATED OR MERGED	INDICATE YOUR OWNERSHIP PERCENTAGE IN THIS ENTITY	COVERAGE DESIRED? If yes, indicate shared or separate limits.

III. COVERAGES, LIMITS AND DEDUCTIBLES SCHEDULE (IF SHARED OR SEPARATE PHYSICIAN OR ALLIED COVERAGE IS BEING REQUESTED)

PLEASE INDICATE THE COVERAGES, LIMITS AND DEDUCTIBLES DESIRED ON THE CHART BELOW

COVERAGE	REQUESTED LIMITS	OCCURRENCE / CLAIMS-MADE	DEDUCTIBLE / SIR
<input type="checkbox"/> PROFESSIONAL LIABILITY - EMPLOYED OR CONTRACTED PHYSICIANS, SURGEONS, RESIDENTS, INTERNS, FELLOWS, DENTISTS AND ORAL SURGEONS - SHARED LIMIT COVERAGE	IF THIS COVERAGE IS DESIRED, PLEASE COMPLETE A SCHEDULE OF MEDICAL PROFESSIONALS OR PROVIDE A ROSTER WITH EQUIVALENT INFORMATION. SUBMIT SEPARATE APPLICATIONS FOR EACH INDIVIDUAL COVERAGE DESIRED. <i>IF THIS COVERAGE IS PROVIDED, THE FACILITY'S PROFESSIONAL LIABILITY LIMIT WILL BE SHARED.</i>	THE COVERAGE TYPE (OCCURRENCE/CLAIMS-MADE) MUST BE THE SAME AS INDICATED IN THE SURGERY CENTER LIABILITY APPLICATION.	THE DEDUCTIBLE MUST BE THE SAME AS INDICATED IN THE SURGERY CENTER LIABILITY APPLICATION.
<input type="checkbox"/> PROFESSIONAL LIABILITY - EMPLOYED OR CONTRACTED CRNAs, NURSE MIDWIVES, CRNPs, PODIATRISTS, PHYSICIAN ASSISTANTS AND SURGICAL ASSISTANTS - SHARED LIMIT COVERAGE	IF THIS COVERAGE IS DESIRED, PLEASE COMPLETE A SCHEDULE OF MEDICAL PROFESSIONALS OR PROVIDE A ROSTER WITH EQUIVALENT INFORMATION. <i>IF THIS COVERAGE IS PROVIDED, THE FACILITY'S PROFESSIONAL LIABILITY LIMIT WILL BE SHARED.</i>	THE COVERAGE TYPE (OCCURRENCE/CLAIMS-MADE) MUST BE THE SAME AS INDICATED IN THE SURGERY CENTER LIABILITY APPLICATION.	THE DEDUCTIBLE MUST BE THE SAME AS INDICATED IN THE SURGERY CENTER LIABILITY APPLICATION.
<input type="checkbox"/> PROFESSIONAL LIABILITY - EMPLOYED OR CONTRACTED PHYSICIANS, SURGEONS, RESIDENTS, INTERNS, FELLOWS, DENTISTS AND ORAL SURGEONS - SEPARATE LIMIT COVERAGE	IF THIS COVERAGE IS DESIRED, PLEASE COMPLETE A SCHEDULE OF MEDICAL PROFESSIONALS OR PROVIDE A ROSTER WITH EQUIVALENT INFORMATION. SUBMIT SEPARATE APPLICATIONS FOR EACH INDIVIDUAL COVERAGE DESIRED.	<input type="checkbox"/> OCCURRENCE <input type="checkbox"/> CLAIMS MADE RETRO DATE: _____ NOTE: THE UNDERWRITING DEPARTMENT MAY REQUIRE THE SEPARATE LIMIT COVERAGE BE THE SAME POLICY TYPE AS THE SURGERY CENTER.	<input type="checkbox"/> NONE <input type="checkbox"/> \$5,000 <input type="checkbox"/> \$10,000 <input type="checkbox"/> \$25,000 <input type="checkbox"/> \$50,000 <input type="checkbox"/> OTHER \$ _____ THE DEDUCTIBLE APPLIES TO: <input type="checkbox"/> INDEMNITY ONLY <input type="checkbox"/> INDEMNITY AND EXPENSE
<input type="checkbox"/> PROFESSIONAL LIABILITY - EMPLOYED OR CONTRACTED CRNAs, NURSE MIDWIVES, CRNPs, PODIATRISTS, PHYSICIAN ASSISTANTS AND SURGICAL ASSISTANTS - SEPARATE LIMIT COVERAGE	IF THIS COVERAGE IS DESIRED, PLEASE COMPLETE A SCHEDULE OF MEDICAL PROFESSIONALS OR PROVIDE A ROSTER WITH EQUIVALENT INFORMATION. SUBMIT SEPARATE APPLICATIONS FOR EACH INDIVIDUAL COVERAGE DESIRED.	<input type="checkbox"/> OCCURRENCE <input type="checkbox"/> CLAIMS MADE RETRO DATE: _____ NOTE: THE UNDERWRITING DEPARTMENT MAY REQUIRE THE SEPARATE LIMIT COVERAGE BE THE SAME POLICY TYPE AS THE SURGERY CENTER.	<input type="checkbox"/> NONE <input type="checkbox"/> \$5,000 <input type="checkbox"/> \$10,000 <input type="checkbox"/> \$25,000 <input type="checkbox"/> \$50,000 <input type="checkbox"/> OTHER \$ _____ THE DEDUCTIBLE APPLIES TO: <input type="checkbox"/> INDEMNITY ONLY <input type="checkbox"/> INDEMNITY AND EXPENSE

IMPORTANT NOTE:

UNLESS OTHERWISE INDICATED BELOW, REQUESTED COVERAGE WILL BE LIMITED TO PROFESSIONAL SERVICES RENDERED, OR WHICH SHOULD HAVE BEEN RENDERED, WHILE EMPLOYED OR UNDER CONTRACT WITH THE APPLICANT OR RELATED ENTITY (SERVICES LIMITED TO DUTY AND SCOPE OF SERVICES). **CHECK ONE:**

- LIMITED TO DUTY AND SCOPE OF APPLICANT AS INDICATED ABOVE
- REQUESTING 24-HOUR COVERAGE

